

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER ARGM-123US
INTERNATIONAL APPLICATION NO. PCT/JP2004/011960	INTERNATIONAL FILING DATE August 13, 2004 (08.13.2004)	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) To Be Assigned 10/573712
TITLE OF INVENTION BIOMETRIC IDENTITY VERIFICATION APPARATUS		PRIORITY DATE CLAIMED September 30, 2003 (09.30.2003)
APPLICANT(S) FOR DO/EO/US Masahiro YUHARA		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Certificate of Express Mail; PTO-2038; PTO/SB/08a and b; Three Cited References; Return Receipt Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP2004/011960	ATTORNEY'S DOCKET NUMBER ARGM-123US
<div style="border: 1px solid black; padding: 2px; display: inline-block;">10/573712</div> The following fees have been submitted			CALCULATIONS PTO USE ONLY
21.	<input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....	\$300	\$ 300
22.	<input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)....	\$0	\$ 200
	All other situations.....	\$200	
23.	<input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)....	\$0	
	Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....	\$100	
	International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB	\$400	\$ 400
	All other situations.....	\$500	
TOTAL OF 21, 22 and 23 =			\$ 900
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)
29		- 100 =	/50 =
			x \$250
			\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	9 - 20 =		x \$ 50
Independent claims	1 - 3 =		x \$200
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360
TOTAL OF ABOVE CALCULATIONS =			\$ 900
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			
SUBTOTAL =			\$ 900
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$
TOTAL NATIONAL FEE =			\$ 900
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$
TOTAL FEES ENCLOSED =			\$ 900
			Amount to be refunded: \$
			Amount to be charged: \$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.			
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed.			
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO:			
<input checked="" type="checkbox"/> Customer Number 52473			
OR			
<input checked="" type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482			
<input type="checkbox"/> RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899			
Date : March 28, 2006			
<div style="border-top: 1px solid black; padding-top: 5px;">Daniel N. Calder</div> <div style="border-top: 1px solid black; padding-top: 5px;">SIGNATURE</div> <div style="border-top: 1px solid black; padding-top: 5px;">Daniel N. Calder</div> <div style="border-top: 1px solid black; padding-top: 5px;">NAME</div> <div style="border-top: 1px solid black; padding-top: 5px;">27,424</div> <div style="border-top: 1px solid black; padding-top: 5px;">REGISTRATION NUMBER</div>			

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Masahiro Yuhara

Docket No.

ARGM-123US

Serial No.

Filing Date

Examiner

Group Art Unit

To Be Assigned

Herewith

To Be Assigned

To Be Assigned

Invention: BIOMETRIC IDENTITY VERIFICATION APPARATUS

I hereby certify that the following correspondence:

PTO Form 1390 Transmittal with Indicated Enclosures

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 28, 2006.

Kathleen Libby

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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